



PATENT

Attorney Docket No. 3108.1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Lockhart
Serial No: 09/827,383
Filing Date: 04/05/2001
Title: **Tag Nucleic Acids and
Probe Arrays**

Examiner: TBA

Group Art Unit: TBA

**INFORMATION DISCLOSURE
STATEMENT**

Commissioner for Patents
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 CFR § 1.56, § 1.97 and § 1.98, the documents listed on the accompanying U.S. Patent & Trademark Office's Modified Form-1449 are called to the attention of the Examiner for the above patent application.

In an effort to make the review of these references more convenient for the Examiner, Applicants are providing the Examiner and the PTO with a compact disc (CD) with the references available for electronic viewing. The CD contains the articles and information in .pdf format and can be viewed by opening the appropriate file or by selecting the link (CTRL + click) on the 1449 form included on the CD in word format.

A prior IDS was submitted for this application on 10/22/2001, which stated that clean copies of reference materials would be provided when they became available. All necessary materials are now available and provided herewith.

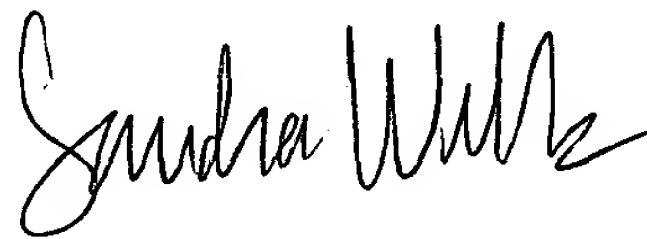
Citation of these documents shall not be construed as (1) an admission that the document are necessarily prior art with respect to the instant invention; (2) a representation that a search has been

made, other than as described above; or (3) an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

Applicants believe that no fee is required for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 01-0431. Please deduct any additional fees from, or credit any overpayment to the above-noted Deposit Account.

Date: 8/5/2003

Respectfully submitted,



Sandra Wells

Reg. No.: 52,349

Legal Department
Affymetrix, Inc.
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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 4

Complete if Known

Application Number	09/827,383
Filing Date	4/5/2001
First Named Inventor	Lockhart
Art Unit	
Examiner Name	
Attorney Docket Number	3108.1

U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
	AA	<u>4,359,353</u>	11/16/1982	Kydd	
	AB	<u>4,441,943</u>	04/10/1984	Kydd	
	AC	<u>5,451,505</u>	09/19/1995	Dollinger	
	AD	<u>5,654,413</u>	08/05/1997	Brenner	
	AE	<u>5,143,854</u>	09/01/1992	Pirung, et al.	
	AF	<u>5,242,979</u>	09/07/1993	Barnum, et al.	
	AG	<u>5,252,743</u>	10/12/1993	Barrett, et al.	
	AH	<u>5,324,663</u>	06/28/1994	Lowe	
	AI	<u>5,384,261</u>	01/24/1995	Winkler, et al.	
	AJ	<u>5,405,783</u>	01/11/1995	Pirung, et al.	
	AK	<u>5,412,087</u>	05/02/1995	McGill, et al.	
	AL	<u>5,424,186</u>	06/13/1995	Fodor, et al.	
	AM	<u>5,445,934</u>	08/29/1995	Fodor, et al.	
	AN	<u>5,451,683</u>	09/19/1995	Barrett, et al.	
	AO	<u>5,482,867</u>	01/09/1996	Barrett, et al.	
	AP	<u>5,489,678</u>	02/06/1996	Fodor, et al.	
	AQ	<u>5,491,074</u>	02/13/1996	Aldwin, et al.	
	AR	<u>5,510,270</u>	04/23/1996	Fodor, et al.	
	AS	<u>5,527,681</u>	06/18/1996	Holmes	
	AT	<u>5,550,215</u>	08/27/1996	Holmes	
	AU	<u>5,571,639</u>	11/05/1996	Hubbell, et al.	
	AV	<u>5,593,839</u>	01/14/1997	Hubbell, et al.	
	AW	<u>5,599,695</u>	02/04/1997	Pease, et al.	
	AX	<u>5,624,711</u>	04/29/1997	Sundberg, et al.	
	AY	<u>5,631,734</u>	05/20/1997	Stern, et al.	
	AZ	<u>5,677,195</u>	10/14/1997	Winkler, et al.	
	BA	<u>5,744,101</u>	04/28/1998	Fodor, et al.	
	BB	<u>5,744,305</u>	04/28/1998	Fodor, et al.	
	BC	<u>5,744,992</u>	04/28/1998	Baumann	
	BD	<u>5,753,788</u>	05/19/1998	Fodor, et al.	
	BE	<u>5,770,456</u>	06/23/1998	Holmes	

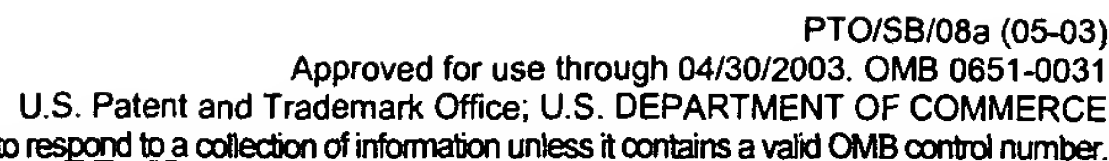
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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of

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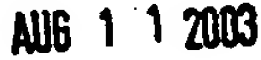
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PTO/SB/08b(05-03)



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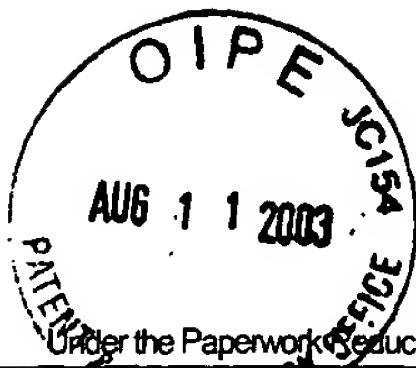
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		Application Number	09/827,383
		Filing Date	4/5/2001
		First Named Inventor	Lockhart
		Group Art Unit	
		Examiner Name	
Sheet 4	of 4	Attorney Docket Number	3108.1

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	AA	<u>DOLLINGER, The Polymerase Chain Reaction, 1994, 265-274, Mullis et al., editors (Birkhauser, Boston).</u>	
	AB	<u>ALPER, Science, 1994, 1399-1401, Volume 264.</u>	
	AC	<u>NEEDELS ET AL., PNAS, 1993, 10700-10704, Volume 90.</u>	
	AD	<u>YOUNG AND DAVIS, Proc. Natl. Acad. Sci., P. 1194, 1983, U.S.A., Volume 80.</u>	
	AE	<u>BRENNER and LERNER, PNAS, 5281-5383, 1992, Volume 89.</u>	

Examiner Signature		Date Considered	
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